

Please type a plus sign (+) inside this box

+

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

**Complete if Known**

Application Number	Not yet assigned
Filing Date	Submitted herewith
First Named Inventor	McKenzie et al.
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	271/094

4979 U.S. PTO  
10/07/02

02/15/02

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
MC	AA	4,382,445		Sommers	05/10/1983	
	AB	4,873,978		Ginsburg	10/17/1989	
	AC	4,969,896		Shors	11/1990	
	AD	4,986,831		King et al.	01/1991	
	AE	5,053,008		Bajaj	10/01/1991	
	AF	5,375,612		Cottenceau et al.	12/24/1994	
	AG	5,522,881		Lentz	06/1996	
	AH	5,545,211		An et al.	08/1996	
	AI	5,609,628		Keranen	03/11/1997	
	AJ	5,617,878		Taheri	04/08/1997	
	AK	5,674,241		Bley et al.	10/1997	
	AL	5,681,345		Euteneuer	10/1997	
	AM	5,683,411		Kavteladze et al.	11/1997	
	AN	5,800,525		Bachinski et al.	09/1998	
	AO	5,817,100		Igaki	10/1998	
	AP	5,919,224		Thompson et al.	07/1999	
	AQ	5,951,599		McCrory	09/1999	
	AR	6,048,331		Tsugita et al.	04/11/2000	
	AS	6,139,517		Macoviak et al.	10/31/2000	
	AT	6,254,563	B1	Macoviak et al.	07/03/2001	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>8</sub>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
MC	AU	BR	9 301 980			10/1993		
	AV	DE	34 17 738	A1		11/1985		
	AW	FR	2567405			01/1986		
	AX	WO	99/16382			04/1999		
	AY							
	AZ							
	BA							
	BB							
	BC							

Examiner Signature

Date Considered

9/22/03

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☐

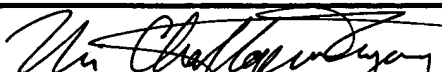
PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	Not yet assigned
		Filing Date	Submitted herewith
		First Named Inventor	McKenzie et al.
		Group Art Unit	Not yet assigned
		Examiner Name	Not yet assigned
Sheet 2 of 2	Attorney Docket Number 271/094		

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	BD	KATZ et al., "Protruding Aortic Atheromas Predict Stroke in Elderly Patients Undergoing Cardiopulmonary Bypass: Experience with Intraoperative Transesophageal Echocardiography", <i>JACC</i> Vol. 20, No. 1, July 1992, pp. 70-77	
	BE		
	BF		
	BG		
	BH		
	BI		
	BJ		
	BK		
	BL		
	BM		
	BN		

Examiner Signature		Date Considered	9/22/03
-----------------------	---	--------------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.